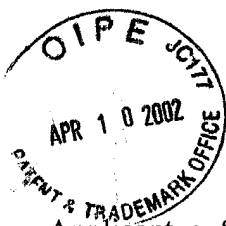


2834



Attorney's Docket No.: 05770-121001

7/IDS
Hawkins
5-7-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Swarn S. Kalsi
Serial No. : 09/632,600
Filed : August 4, 2000
Title : EXCITER FOR SUPERCONDUCTING ROTATING MACHINERY

Art Unit : 2834
Examiner : D. Le

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. I, the undersigned, hereby certify that each item of information contained in this statement was cited in a communication from a foreign patent

RECEIVED
APR 11 2002
TECHNOLOGY CENTER 2800

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

March 28, 2002
Date of Deposit

Signature

Matthew G. Doherty

Typed or Printed Name of Person Signing Certificate

Applicant : Swarn S. Kalsi
Serial No. : 09/632,600
Filed : August 4, 2000
Page : 2

Attorney's Docket No.: 05770-121001

office in a counterpart foreign application, the communication being dated December 28, 2001, which is not more than three months prior to the filing of this statement. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: MARCH 28, 2002

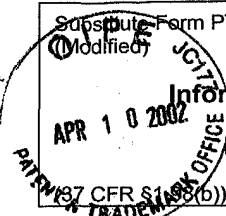


Frank R. Occhiuti
Reg. No. 35,306

Fish & Richardson P.C.
225 Franklin Street
Boston, Massachusetts 02110-2804
Telephone: (617) 542-5070
Facsimile: (617) 542-8906

Substitute Form PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
05770-121001Application No.
09/632,600**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

Applicant
Swarn S. KalsiFiling Date
August 4, 2000Group Art Unit
2834**U.S. Patent Documents**

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	3,940,643	02/24/76	Sika et al.			
	AB	4,352,033	09/28/82	Antonov et al.			
	AC	6,002,193	12/14/99	Canini et al.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

RECEIVED
 APR 11 2002
 TECHNOLOGY CENTER 2800

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.